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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Keishi SHIMIZU et al.

Group Art Unit: 1791

Application No.: 10/796,057

Examiner: M. HUSON

Filed: March 10, 2004

Docket No.: 119039

For: POLYMERIC OPTICAL WAVEGUIDE-FORMING MASTER PLATE, METHOD FOR PRODUCING POLYMER OPTICAL WAVEGUIDE, AND APERTURE CHANGEABLE POLYMERIC OPTICAL WAVEGUIDE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance or other action that closes prosecution (e.g., Quayle Action).
  - ☒ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☒ 2. One or more reference cited herein was cited in a counterpart foreign application. An English language version of the foreign Office Action is attached for the Examiner's information. See Reference 1.

- ☒ 2. An English language Abstract of one or more non-English language reference is attached. See Reference 1.

Respectfully submitted,



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